

12/10/01
J1131 U.S. PTOUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 01-235

First Inventor or Application Identifier NAITO et al.

Title MANUFACTURING METHOD OF SILICON CARBIDE
SINGLE CRYSTALS

Express Mail Label No.

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 35]

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description of the Preferred Embodiment
- Claims
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 5]

4. Oath or Declaration [Total Sheets 4]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63 (d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(c)(2) and 1.33(b).

NOTE FOR ITEMS 8 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.26)

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
37 C.F.R. § 3.73(b)

8. Statement (when there is an assignee) Power of Attorney

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
*Small Entity Statement(s) Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired

13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. Other:

15. Other:

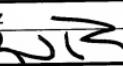
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below	
PATENT & TRADEMARK OFFICE					
Name					
Address					
City	State	Zip Code			
Country	Telephone	(202) 416-1638	Fax	(202) 416-1639	

Name (Print/type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701
Signature		Date	12-10-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Client Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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December 10, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: NAITO et al.

For: MANUFACTURING METHOD OF SILICON CARBIDE SINGLE CRYSTALS

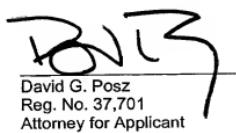
Docket: 01-235

Attorney: David G. Posz

Date of Deposit: December 10, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 35 page specification including 29 numbered claims;
- 5 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recordation cover sheet (2 pages total);
- IDS with PTO-1449 form and 7 references;
- 1 certified copy(ies) of priority document(s) (JP 2000-377485); and
- check for \$1110.


David G. Posz
Reg. No. 37,701
Attorney for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1110)

Complete if Known	
Application Number	
Filing Date	December 10, 2001
First Named Inventor	NAITO et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	01-235

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-1147

Deposit Account Name

LAW OFFICE OF DAVID G. POSZ

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201	370 Utility filing fee	740
106 330	206	165 Design filing fee	
107 510	207	255 Plant filing fee	
108 740	208	370 Reissue filing fee	
114 160	214	80 Provisional filing fee	

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

Extra Claims	Fee from Below	Fee Paid
29	9 x 18 = 162	
5 - 3 = 2	2 x 84 = 168	

*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203	9 Claims in excess of 20
102 84	202	42 Independent claims in excess of 3
104 280	204	140 Multiple dependent claim, if not paid
109 84	209	42 **Reissue independent claims over original patent
110 18	210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 330)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge – late filing fee or oath	
127 50	227	25 Surcharge – late provisional filing fee or cover sheet	
139 130	139	130 Non-English specification	
147 2,520	147	2,520 For filing a request for reexamination	
112 920*	112	920* Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 400	216	200 Extension for reply within second month	
117 920	217	460 Extension for reply within third month	
118 1,440	218	720 Extension for reply within fourth month	
128 1960	228	980 Extension for reply within fifth month	
119 320	219	160 Notice of Appeal	
120 320	220	160 Filing a brief in support of an appeal	
121 280	221	140 Request for oral hearing	
138 1,510	138	1,510 Petition to institute a public use proceeding	
140 110	240	55 Petition to revive – unavoidable	
141 1,260	241	640 Petition to revive – unintentional	
142 1,280	242	640 Utility issue fee (or reissue)	
143 460	243	230 Design issue fee	
144 620	244	310 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Petitions related to provisional applications	
126 180	126	180 Submission of information Disclosure Stmt	
581 40	581	40 Recording each patent assignment per property (times number of properties)	
146 740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40)

40

SUBMITTED BY

Name (Print/Type) DAVID G. POSZ

Signature

Registration No. (Attorney/Agent) 37,701

Complete if applicable

Telephone (202) 416-1638

Date 12-10-01

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